Paper No. 10 Filed: August 3, 2015

## UNITED STATES PATENT AND TRADEMARK OFFICE

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## BEFORE THE PATENT TRIAL AND APPEAL BOARD

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PERFECT WORLD ENTERTAINMENT, INC., Petitioner,

V.

UNILOC USA, INC. and UNILOC LUXEMBOURG S.A., Patent Owner.

Case IPR2015-01026 Patent 5,490,216 C2

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Before WILLIAM V. SAINDON, DONNA M. PRAISS, and PATRICK R. SCANLON, *Administrative Patent Judges*.

PRAISS, Administrative Patent Judge.

## **DECISION**

Institution of *Inter Partes* Review 37 C.F.R. § 42.108

Grant of Motion for Joinder 37 C.F.R. § 42.122(b)

# I. INTRODUCTION

Perfect World Entertainment Inc. ("Petitioner") filed a Petition pursuant to 35 U.S.C. §§ 311–319 to institute an *inter partes* review of claims 1–20 of U.S. Patent No. 5,490,216 C2 ("the '216 patent"). Paper 1 ("Pet."). Concurrently, Petitioner filed a Motion for Joinder. Paper 3 ("Joinder Motion"). The Joinder Motion seeks to join this proceeding with *Sega of America, Inc., Ubisoft, Inc., Kofax, Inc., and Cambium Learning Group, Inc. v. Uniloc USA, Inc. and Uniloc Luxembourg S.A.*, Case IPR2014-01453 ("the '1453 IPR"), which concerns the '216 patent at issue here. Joinder Motion 1.

Uniloc USA, Inc. and Uniloc Luxembourg S.A. ("Patent Owner") filed a Preliminary Response (Paper 9, "Prelim. Resp.") as well as an Opposition to Joinder (Paper 6, "Opposition"). Petitioner filed a Reply to Patent Owner's Opposition to Motion for Joinder (Paper 7, "Reply"). We instituted trial in the '1453 IPR on March 10, 2015. '1453 IPR, Paper 11 ("the '1453 Institution Decision"). For the reasons described below, we institute an *inter partes* review of claims 1–20 and grant Petitioner's Motion for Joinder.

## II. INSTITUTION OF INTER PARTES REVIEW

A. References

Petitioner relies on the same references as those in the '1453 IPR:

Reference	Publication	Date	Exhibit
Haines	US 5,077,660	Dec. 31, 1991	1005
Logan	US 5,199,066	Mar. 30, 1993	1003
Grundy	US 5,291,598	Mar. 1, 1994	1004
Schull	US 5,509,070	Apr. 16, 1996	1002
Manduley	US 5,956,505	Sept. 21, 1999	1006

Petitioner also relies on essentially the same Declaration of Vijay K. Madisetti, Ph.D., as in the '1453 IPR, but dated April 8, 2015 for this proceeding. Ex. 1007 ("Madisetti Decl.").

## B. Grounds Asserted

The Petitioner in this proceeding asserts the same grounds as those on which we instituted review in the '1453 IPR. Those are:

Claims Challenged	Basis	Reference(s)
1–11, 17–20	§ 102(e)	Schull
12–14	§ 102(e)	Logan
15, 16	§ 103(a)	Logan and Grundy
12–14	§ 103(a)	Haines and Manduley
10, 11	§ 103(a)	Schull

C. Decision

We have reviewed the Petition, Preliminary Response, and the evidence cited therein. In view of the identity of the challenges to the '216 patent in this Petition and in the petition in the '1453 IPR, we institute an *inter partes* review in this proceeding on the same grounds as those on which we instituted *inter partes* review in the '1453 IPR.

## III. MOTION FOR JOINDER

An *inter partes* review may be joined with another *inter partes* review, subject to the provisions of 35 U.S.C. § 315(c):

(c) JOINDER.—If the Director institutes an inter partes review, the Director, in his or her discretion, may join as a party to that inter partes review any person who properly files a petition under section 311 that the Director, after receiving a preliminary response under section 313 or the expiration of the time for filing such a response, determines warrants the institution of an inter parties review under section 314.

As the moving party, Petitioner bears the burden of proving that it is entitled to the requested relief. 37 C.F.R. § 42.20(c).

To be considered timely, a motion for joinder must be filed no later than one month after the institution date of the *inter partes* review for which joinder is requested. 37 C.F.R. § 42.122(b). The Petition in this proceeding has been accorded a filing date of April 9, 2015 (Paper 4). This date is within one month after the date of institution in the '1453 IPR, which was instituted on March 10, 2015. The Petition, therefore, is timely.

A motion for joinder should: (1) set forth the reasons joinder is appropriate; (2) identify any new ground(s) of unpatentability asserted in the petition; and (3) explain what impact (if any) joinder would have on the trial schedule for the existing review. *See Kyocera Corporation v. Softview LLC*, IPR2013-00004 (Paper 15, 4) (PTAB Apr. 24, 2013); *see also* Frequently Asked Question H5, <a href="http://www.uspto.gov/patents-application-process/appealing-patent-decisions/trials/patent-review-processing-system-prps-0">http://www.uspto.gov/patents-application-process/appealing-patent-decisions/trials/patent-review-processing-system-prps-0</a> (last visited July 29, 2015).

Petitioner contends that joinder will not impact the Board's ability to complete its review in the statutorily prescribed time frame. Joinder Motion 6–7. Petitioner proposes an accelerated schedule in this proceeding in order to "reach a decision on institution prior to the June 8, 2015 deadline for Patent Owner's Response in the ['1453] IPR." *Id.* at 7. Petitioner contends that the grounds asserted in this Petition are the same grounds of unpatentability asserted in the '1453 IPR. *Id.* at 5–6. Petitioner's arguments regarding the asserted references are identical to the arguments raised in the '1453 IPR, and Petitioner has submitted, in support of its Petition, substantially the same declaration of the same technical expert as submitted

in the '1453 IPR (excluding some minor changes made to reflect Petitioner's subsequent engagement of the same expert). *Id*.

Petitioner further contends that joinder will promote efficiency by avoiding redundancy. *Id.* at 6–7. According to Petitioner, the Board can minimize any scheduling impact by requiring consolidated filings and coordination among petitioners. *Id.* 

Patent Owner opposes joinder, contending that joinder would impact the trial schedule because a decision on the joinder motion would coincide with the time that Petitioner's Reply is due in the '1453 IPR. Opposition 5–6; *see also* '1453 IPR, Paper 12, 6 (Scheduling Order, setting the due date for Petitioner's reply to September 8, 2015). Patent Owner also contends that because the Preliminary Response in this proceeding includes new argument not previously considered by the Board in the '1453 IPR, "the risk arises that one of the parties in the pending IPR2014-01453 would be unfairly advantaged by an untimely decision whether to institute trial for the present Petition." *Id.* at 6.

In response to Patent Owner's concerns about the impact on the trial schedule, Petitioner states:

Petitioner has no intention to revisit the already conducted depositions, despite suggestions otherwise by the Opposition (p. 5). Rather, Petitioner simply seeks to join the ongoing ['1453] IPR, adopting its status upon the grant of joinder.

# Reply 3.

As discussed above, joinder is a matter within the Board's discretion based on the particular circumstances of each proceeding. In this proceeding, we are persuaded that Petitioner has demonstrated that joinder with the '1453 IPR would avoid duplication and promote the efficient

resolution of both proceedings. Petitioner has brought the same challenges presented by the '1453 IPR; thus, the substantive issues would not be unduly complicated by joining the proceedings. Joinder merely introduces the same grounds presented originally in the '1453 IPR, where all the same prior art is involved. Patent Owner will therefore be able to address the challenges in a single proceeding.

Patent Owner asserts that the '1453 IPR will have reached its substantive stages by the time a decision on Petitioner's joinder motion is made requiring revision of the scheduling order in the '1453 IPR.

Opposition 6. We are not persuaded by this argument that joinder should be denied. Petitioner's Reply is not due until September 8, 2015 in the '1453 IPR and Petitioner in this proceeding is not seeking to revisit what has transpired in the '1453 IPR prior to the grant of joinder.

Finally, Patent Owner argues that accelerating the scheduling and decision-making with respect to new arguments made in its Preliminary Response to the Petition in this case would unfairly advantage the parties to the '1453 IPR. *Id.* We do not find this argument persuasive because Patent Owner filed its Preliminary Response in this proceeding after it filed its Response in the '1453 IPR.

### IV. CONCLUSION

Based on the record before us, we institute an *inter partes* review in IPR2015-01026 and grant Petitioner's motion to join that proceeding to IPR2014-01453.

## V. ORDER

In view of the foregoing, it is:

ORDERED that *inter partes* review in IPR2015-01026 is hereby instituted;

FURTHER ORDERED that Petitioner's Motion for Joinder is granted, and IPR2015-01026 is joined with IPR2014-01453;

FURTHER ORDERED that the grounds on which IPR2014-01453 was instituted are unchanged, and no other grounds are included in the joined proceeding;

FURTHER ORDERED that the Scheduling Order entered in IPR2014-01453 (Paper 12) is not modified by this Order and shall govern the schedule of the joined proceedings;

FURTHER ORDERED that, throughout the joined proceeding, all petitioners will file papers, except for motions that do not involve the other party, as a single, consolidated filing; that such consolidated filings will be identified as a "Consolidated Filing"; and that the petitioners will conduct coordinated (not separate) discovery;

FURTHER ORDERED that IPR2015-01026 is terminated under 37 C.F.R. § 42.72 and all further filings in the joined proceedings are to be made in IPR2014-01453;

FURTHER ORDERED that a copy of this Decision will be entered into the record of IPR2014-01453; and

FURTHER ORDERED that the case caption in IPR2014-01453 shall be changed to reflect joinder with this proceeding in accordance with the attached example.

IPR2015-01026 Patent 5,490,216 C2

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# Example Case Caption for Joined Proceeding

# UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

SEGA OF AMERICA, INC., UBISOFT, INC., KOFAX, INC., CAMBIUM LEARNING GROUP, INC., and PERFECT WORLD ENTERTAINMENT, INC., Petitioner,

V.

UNILOC USA, INC. and UNILOC LUXEMBOURG S.A., Patent Owner.

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Case IPR2014-01453<sup>1</sup> Patent 5,490,216 C2

<sup>&</sup>lt;sup>1</sup> Case IPR2015-01026 has been joined with this proceeding.